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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/870,860	05/30/2001	Farah Moaven	WELL0016	8988
22862	7590	10/04/2004	EXAMINER	
GLENN PATENT GROUP 3475 EDISON WAY, SUITE L MENLO PARK, CA 94025			JACKSON, JENISE E	
			ART UNIT	PAPER NUMBER
			2131	
DATE MAILED: 10/04/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/870,860

Applicant(s)

MOAVEN ET AL.

Examiner

Jenise E Jackson

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-10 and 12-32 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-10 and 12-32 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|--|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date ____ | 6) <input type="checkbox"/> Other: ____ |

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-10, 12-32 remain rejected under 35 U.S.C. 102(e) as being anticipated by Win et al(6,161, 139).
3. As per claim 1, Win et al. discloses a method of requesting approval for accessing a resource in a system of resources (see col. 3, lines 66-67, col. 4, lines 16-18, col. 5, lines 45-47), creating a resource profile including at least one resource(see col. 4, lines 58-67, col. 5, lines 27-30), wherein the resource profile has an owner(i.e. administrator or functional group)(see col. 4, line 66, col. 5, lines 1-11, 33-44); creating a job profile that is related to at least one user; assigning the job profile to the resource profile(see col. 5, lines 1-33), requesting once that the resource owner approve the job profile to access the resource profile, such that any user assigned to the job profile automatically gains approval and access to the at least one resource included in the resource profile(see col. 4, line 66, col. 5, lines 1-45).
4. As per claim 2, Win et al. discloses wherein the requesting step automatically originates from the assigning step(see col. 5, lines 29-32).
5. As per claim 3, Win et al. discloses wherein the resource profile includes at least one computing device(see col. 4, lines 46-66).

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6. As per claim 4, Win et al. discloses wherein the resource profile includes at least one software module(i.e. applet)(see col. 4, lines 65-66).
7. As per claim 5, Win et al. discloses wherein the job profile includes at least one job(see col. 5, lines 1-11).
8. As per claim 6, Win et al. discloses wherein the job profile includes at least one role(see col. 5, lines 12-41).
9. As per claim 7, Win et al. discloses wherein the job profile includes at least one project(see col. 5, lines 1-27).
10. As per claim 8, Win et al. discloses wherein the job profile includes at least one workgroup(see col. 5, lines 1-27).
11. As per claim 9, Win et al. discloses wherein the job profile includes at least one responsibility (see col. 5, lines 1-27).
12. As per claim 10, Win et al. discloses a method for providing a user access to a resource in a system(see col. 3, lines 66-67, col. 4, lines 16-18, col. 5, lines 45-47), once assigning the job profile to a resource profile, wherein the resource profile includes the resource, wherein the resource profile has an owner(see col. 5, lines 1-45), and wherein the job profile is approved by the resource owner and granted access to the resource(see col. 5, lines 35-45), assigning a user to the job profile that relates to the user(see col. 4, line 66, col. 5, lines 1-11), such that the user automatically gains approval for accessing to the resource included in the resource profile(see col. 5, lines 1-45).
13. As per claim 12, Win et al. discloses including granting an account to the user for accessing the approved resource(see col. 9, lines 17-45).

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14. As per claim 13, Win et al. discloses wherein the account is automatically provided following the assigning the user to the approved job profile(see col. 5, lines 22-47).
15. As per claim 14, Win et al. discloses wherein the resource profile includes at least one computing device(see col. 4, lines 46-66).
16. As per claim 15, Win et al. discloses wherein the resource profile includes at least one application software(i.e. applet)(see col. 4, lines 65-66).
17. As per claim 16, Win et al. discloses wherein the job profile includes at least one job(see col. 5, lines 1-11).
18. As per claim 17, Win et al. discloses wherein the job profile includes at least one role(see col. 5, lines 1-11).
19. As per claim 18, Win et al. discloses wherein the job profile includes at least one project(see col. 5, lines 1-27).
20. As per claim 19, Win et al. discloses wherein the job profile includes at least one workgroup(see col. 5, lines 1-27).
21. As per claim 20, Win et al. discloses receiving a request for a job profile to access the resource profile(see col. 4, lines 58-67, col. 5, lines 27-30); evaluating the request by a resource owner of the resource profile(see col. 5, lines 35-44, col. 13, lines 7-23); and deciding to grant access approval such that if access approval is granted, future accesses of the resource profile by members of the job profile that do not need approval by the resource owner(see col. 5, lines 45-55).
22. As per claim 21, Win et al. discloses wherein the deciding step includes restricting the resource profile to be accessed by a certain job profile(see col. 5, lines 1-29).

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23. As per claim 22, Win et al. discloses a system for accessing computing resources(see col. 3, lines 66-67, col. 4, lines 16-18, col. 5, lines 45-47), at least one user terminal(see col. 4, lines 46-49); at least one database including at least one application software(see col. 4, lines 58-67); at least one computing device(see col. 4, lines 11-45); means for creating a resource profile including the at least one database and the at least one application software, wherein the resource profile has at least one owner(see col. 4, lines 58-67, col. 5, lines 1-11); means for creating a job profile related to at least one user; means for assigning the resource profile to the job profile(see col. 5, lines 1-33); means for approving once the job profile access to the resource profile by at least one resource owner(see col. 5, lines 35-44, col. 13, lines 7-23); and means for providing any member assigned to the job profile automatically gains access to at least one resource included in the resource profile(see col. 5, lines 1-55).

24. As per claim 23, Win et al. discloses implemented on a network environment(see col. 4, lines 51-57).

25. As per claim 24, Win et al. discloses wherein the network environment further including Internet(see col. 4, lines 51-57).

26. As per claim 25, Win et al. discloses wherein at least one of the resource profile(see col. 5, lines 1-33), the computing device(see col. 4, lines 46-66), and the software module is owned by various resource owners(see col. 4, lines 58-67, col. 5, lines 1-10).

27. As per claim 26, Win et al. discloses determining whether a plurality of resources may be grouped together in a resource profile; and grouping the plurality of resources in the resource profile if such grouping is allowed, such that if access approval is granted once to an authorized

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job profile for the resource profile, future accesses by the authorized job profile of the resource profile do not need access approval(see col. 5, lines 1-47).

28. As per claim 27, Win et al. discloses wherein the determining step further includes checking against an exclusion rule(see col. 5, lines 1-47).

29. As per claim 28, Win et al. discloses indicating that the resource profile may not be built if the grouping is not allowed under the exclusion rule(see col. 5, lines 1-47).

30. As per claim 29, Win et al. discloses a method for assigning a job profile to a resource profile(see col. 5, lines 1-33); determining whether a job profile may be assigned to a resource profile; and one time assigning the job profile to the resource profile if such assignment is allowed, such that a user assigned to the job profile gains automatic approval for accessing the resource profile(see col. 5, lines 1-55).

31. As per claim 30, Win et al. discloses wherein the determining step further includes checking against an exclusion rule(see col. 5, lines 1-47).

33. As per claim 31, Win et al. discloses indicating that the job profile may not be assigned to the resource profile if the assignment is not allowed under the exclusion rule(see col. 5, lines 1-47).

34. As per claim 32, it is rejected under the same basis as claim 1.

Response to Amendment

35. The Applicant states that Win does not teach nor contemplate that the request to gain access to the resource is only submitted once and does not have to be resubmitted for new employees or members who join the job/role/workgroup in the future. The Applicant states that

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Win does not teach or contemplate that it is the job profile that is granted authorization and access to a resource profile, and not the individual. The Examiner disagrees with the Applicant. Win discloses that a request to gain access to the resource is submitted to the administrator (i.e. resource owner), Win discloses that the system enables the creation of resource profiles by assigning roles to resources, and user profiles by assigning roles to users to generate access rights. The system of Win automatically links a user profile to the resource profiles that have been assigned the same roles, so that deployment of new resources may occur rapidly (see col. 4, line 66, col. 5, lines 1-32). Also, Win discloses that the system enables users to login to the system once (see col. 5, lines 45-55). In regards to the claim language where in the claims does it state “**the job profile that is granted authorization and access to a resource profile, and not the individual**”, the Applicant is urged to point out in the claims where this is disclosed. This point is moot.

FINAL ACTION

36. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

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however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jenise E Jackson whose telephone number is (703) 306-0426. The examiner can normally be reached on M-Th (6:00 a.m. - 3:30 p.m.) alternate Friday's.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on (703) 305-9648. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



September 28, 2004



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